Risk ID	Category / Element	Risk Short Title	Description	Desired Outcome	Current Situation	Proposed Strategy
C00298 []	R3 Regulations	Rejection of timely accounts due to internal delay	The company submitted corrected accounts on 28 Jan 2025, including a cheque. These were received by Companies House but returned over a month later, with the explanation that a later set had already been accepted.	Acknowledgement of timely receipt and cancellation of the late filing penalty due to internal delay by Companies House. **What Could Go Wrong?** If Companies House delays are not recognised, compliant companies may continue to be penalised unjustly, eroding trust in statutory processes.	Companies House maintains the position that the penalty is valid, denying that the January submission was received in time, despite later returning it.	Continue to log all correspondence, push for FOI data to expose the broader pattern, and escalate the claim formally with itemised time logs and billing.

Risk (three-part) Statement			Current Risk			Response Type	Manageability	Residual Risk	Risk Owner	Due Date	Close Date	Last Review Date / Notes
Cause	Risk Event [uncertainty]	Consequence	Probability	Impact	Score (PxI)							
The original submission was delayed internally at Companies House and not processed in order of receipt.	The 28 Jan accounts were returned after the 26 Feb set was accepted, reversing the correct sequence.	Penalty issued despite timely submission, financial and time cost transferred to the company.	3	3 H-1 C-1 Q-1 S-1	9	Mitigate	3	6	Winter, David	16May26	Open	05Nov25

Mitigating Actions / Response

ID	Actions	Action Owner	Due Date	Close Date
#1	Set up a PHC Project P407 for collection and tracking of SCALPED elements [Latest: Done - and in service.]	Winter, David	30Nov25	01Oct25
#2	Push for FOI data	Winter, David	30Nov25	05Nov25
#3	Escalate the invoice claims.	Winter, David	30Nov25	Open

Last 10 RM Events (Meetings/Interviews/Workshops).

Mtg.	Date	Title / Person / Department	Objective	(0 Events held.)

Comments

History

Top Risk **Summary**

Rejection of timely accounts due to internal delay

Top Risk Mitigation

Continue to log all correspondence, push for FOI data to expose the broader pattern, and escalate the claim formally with itemised time logs and billing.